

**ALABAMA ELECTRONIC SECURITY
BOARD OF LICENSURE**

RT MANAGEMENT, INC. - CONTRACTOR



**2026/2027
APPLICATION PACKET**

*Amended on June 1, 2026
to reflect changes to the
Administrative Code*

PLEASE SEE THE AESBL INFORMATION PACKET FOR ADDITIONAL
LICENSING INSTRUCTIONS.

AESBL Holiday Office Hours

In anticipation of companies/individuals renewing at the end of the year, we are publishing our office hours for Christmas and New Year's so that licensees will know our availability ahead of time.

Therefore, at the end of the year, if you need help with renewal applications or have any questions, please contact our office *in advance* so that we may be of assistance. Renewals must be postmarked by December 31, 2025, in order to not be considered late. You may drop off packets at our physical office at 465 Cotton Gin Road in Montgomery, Alabama; but if the office is closed for the holidays, you may drop them off at our secure mailbox at the UPS Store at 7956 Vaughn Road, PMB 392 in the Sturbridge Shopping Center.

Please note that during the busy renewal period, all applications are processed in the order that they are received. If your company and QA applications are *INCOMPLETE*, you will be considered *UNLICENSED*. Per a state Legal Compliance Audit in December 2021, the AESBL does *NOT* have a grace period beyond the December 31st deadline for renewals.

CHRISTMAS:

Monday, December 22nd – open 8:00 am – 3:30 pm

Tuesday, December 23rd – open 8:00 am – **12:00 pm**

Wednesday, December 24th – CLOSED

Thursday, December 25th – CLOSED

Friday, December 26th – CLOSED

NEW YEAR'S:

Monday, December 29th – open 8:00 am – 3:30 pm

Tuesday, December 30th – open 8:00 am – 3:30 pm

Wednesday, December 31st – CLOSED

Thursday, January 1st – CLOSED

Friday, January 2nd – open 8:00 am – **12:00 pm**

Checklist for Required Items

Below is an easy checklist for required items when submitting applications for licensure. It is recommended that items be submitted in the order listed and paperclipped together.

Please do not staple applications.

COMPANY APPLICATION:

- Company application form (page 4)
- Required fees (page 11)
- Proof of \$250k (minimum) general liability insurance
 - Company/DBA name must be listed as it is on the licensing application.
 - Company's physical address must be listed.
 - The AESBL should be listed as a certificate holder.
- Business license from the State of Alabama or any city or county in Alabama
- Certificate of Authority to do business in Alabama issued by the Alabama Secretary of State's Office and Registered Agent's information. (Only applies to new companies that are located out of state)

QUALIFYING AGENT APPLICATION:

- Qualifying Agent application form (page 5)
 - Initial one response only under Criminal Background Check.
 - Application must be signed and notarized/witnessed.
- Required fees (AESBL and ALEA) (page 11)
- Two passport sized photos with applicant's name on back of photos
- Certification training certificate(s) – (new applicants)
- Continuing Education certificates and CEU Reporting Form (page 7)
- ALEA forms for background check (pages 15 and 16)
- Two completed fingerprint cards (DO NOT BEND OR FOLD CARDS)
- Copy of current driver's license (ALEA will not accept an expired license)

INDIVIDUAL APPLICATION:

- Individual application form (page 6)
 - Initial one response only under Criminal Background Check.
 - Application must be signed and notarized/witnessed.
 - Qualifying Agent must sign the individual's application.
- Required fees (AESBL and ALEA) (page 11)
- Two passport sized photos with applicant's name on back of photos
- Certification training certificate(s) – (new applicants)
- Continuing Education certificates and CEU Reporting Form (page 7)
- ALEA forms for background check (pages 15 and 16)
- Two completed fingerprint cards (DO NOT BEND OR FOLD CARDS)
- Copy of current driver's license (ALEA will not accept an expired license)

No Staples Please
Paper Clips Only

2026 / 2027

Company License Application

Alabama Electronic Security Board of Licensure

7956 Vaughn Rd., PMB 392 Montgomery, Alabama 36116
phone # 334-557-0983~ fax # 334-557-0978~ e-mail administrative@aesbl.alabama.gov
~website www.aesbl.alabama.gov~

Company Name: _____ AESBL #: _____

Company Physical Address: _____
(Please advise AESBL if there is a privacy issue with publishing this address.)
City/State/Zip Code: _____

What Alabama county is your company physically located in? _____

Company Mailing Address: _____

City/State/Zip Code: _____

Company Phone #: _____ Company Fax #: _____

Company Contact Person: _____ Direct Phone Number: _____

Company E-mail Address: _____

SEE 2026/2027 AESBL LICENSING FEE SCHEDULE (Page# 11)

- ___ First Time Admin Fee or Name Change Fee \$150.00
- PLUS
- ___ Company (Incorporated, LLC, or LP) License Fee \$400.00
- OR
- ___ Company (Sole Proprietorship/non-incorporated partnership) . . License Fee \$300.00
- OR
- ___ Company Branch Office Annual Fee (No Administrative Fee Required) \$400.00

If you did not obtain a license in 2024/2025 please enter the date (month, day, year) that the company was started _____

Provide AESBL a copy of the company's most recent business license issued by an Alabama city or county.

Have you ever had any business license revoked? ___yes ___no (If yes, explain why and attach to application.)

Company Type: Burglar Alarm __, Monitoring __, CCTV __, Access Control __, Locksmith __
Electronic
Check all that apply.

Following items must be enclosed for application to be considered complete:

- ___ Certificate of Insurance? (This must show expiration dates.)
- ___ Copy of Alabama business license?
- ___ Check or Money Order in Proper Amount Made Out to AESBL?
- ___ Employees' Applications, Copies of current Training Certificates or CEU's, current Pictures and Fees?
- ___ ALEA Criminal History Information Release Forms, FBI fingerprint cards, and processing fees?

Qualifying Agent's Name (Print) _____

I certify the information provided on this application and enclosed materials are true and correct to the best of my knowledge.

Date _____ Authorized Official's Signature _____
Authorized Official's Social Security Number _____
(Required by Code of Alabama 1975 Section 30-3-194 (a))

2026 / 2027

No Staples Please
Paper Clips Only

Qualifying Agent License Application

Alabama Electronic Security Board of Licensure

7956 Vaughn Road, PMB 392 Montgomery, Alabama 36116

RECIPROCITY

Indicate with which approved state are you seeking reciprocity:

LA / MS / TN / SC

Individual's Name _____
First Middle Last

Were you licensed by AESBL in year 2024/25? _____ If yes, provide license # _____
(answer yes or no)

Date of Birth _____ Social Security Number _____
(Required by Code of Alabama 1975 Section 30-3-194 (a))

Employed By (Name of Company) _____

Company (Office or Branch) Address _____

City/State/Zip Code _____

Employee's Home Address _____

City/State/ZipCode _____

Cell Phone Number _____ Date of Employment _____

Fees for Qualifying Agents - \$60.00 or \$120 or \$180 for 2 years (*see page# 11*)
This application must be accompanied by a company or cashier's check, money order, or credit/debit card in the proper amount made payable to AESBL.

Education (Check all that apply and enclose documentation): ESA - NTS: Level 1 ___ Level 2 or ABAT/AISC ___ Locksmith Certification ___ Access Control ___ CCTV ___ CEU's ___ Other ___
(Provide a copy of your current NTS certificates or documentation of other appropriate training to AESBL.)

Pictures: Enclose a passport size photo with your name on the back of the picture for ID badge.

****IF YOU FALSIFY THE FOLLOWING RESPONSE, YOUR APPLICATION FOR LICENSE MAY BE AUTOMATICALLY DENIED!****

Criminal Background Check: (INITIAL ONE (1) RESPONSE ONLY, See Instructions.)

***** READ CAREFULLY *****

I certify that **I have not been arrested or convicted** of a crime other than a minor traffic violation _____
(Initial)

I certify that **I have been arrested or convicted** of a crime other than a minor traffic violation _____
(Initial)

Initial only
ONE
response

Have you ever had any business license revoked? ___yes or no ___
(If yes provide written explanation and attach to Application.)

I certify that I am a full time (at least 32 hours per week) employee at the above company location and the information provided on this application and enclosed materials is true and correct to the best of my knowledge and AESBL is authorized to verify any or all information provided.

Applicant Signature: _____ Date: _____
(Must have two witnesses **OR** notary)

Witness _____
Address _____
City/ State/Zip _____

Sworn to and subscribed before me on this _____ day of _____, 20__.

Witness _____
Address _____
City/State/Zip _____

NOTARY PUBLIC
My Commission expires _____

Alabama Electronic Security Board of Licensure CEU Reporting Form

All CEUs submitted must be approved by the AESBL and listed on our website or must qualify for CEU credit under the guidelines posted on our website.

Qualifying Agents and Installers who do not have current valid Training Certificates (Level 1, Level 2 or ABAT/Advanced Intrusion Systems Course, CCTV, or Electronic Access Control), Locksmiths, and Salespersons must complete this form to document equivalent Continuing Education Training.

Biennial minimum required Continuing Education Training. All applicants, including Qualifying Agents, Installers, Locksmiths, VSP, and Salespersons, must submit 16 hours of continuing education upon license renewal. First-time applicants with expired certification training must submit 16 hours of continuing education units to update certifications.

To report continuing education training to AESBL, you must complete and sign this form. Attach the original or a valid copy of each training certificate received.

Course Date (include yr.)	Course Title	CEU Course #	Sponsor	CEU's/ Hours Earned

Total Earned _____

By signing and submitting this form, I certify that the information contained is complete, accurate, and the courses attended were qualified industry related topics. I understand that all credits are subject to verification by AESBL. **By falsifying any of this information, I understand that I can face monetary penalties up to \$1000 per violation and/or possible revocation of license.**

Print Name: _____ Company Name: _____
Signature: _____ Date: _____

REQUIRED FOR NEW APPLICANTS ONLY

AFFIDAVIT OF APPLICANT

_____, on oath, do promise and swear that,
Printed Name of Applicant

In accordance with the Alabama Immigration Law ALL new applicants and ALL renewal applications received on or after October 1, 2011 must provide, with their online or mail-in application, a notarized affidavit with a copy of one (1) of the documents stated in HB56, Section 29(k) or HB56, Section 3(10).

ALL applicants or renewal applicants who cannot provide the documentation as provided in HB56, Section 29(k) or HB56, Section 3(10) shall be denied a license. All applicants or renewal applicants who provide documentation of alien status, pursuant to HB 56, Section 3(10), shall be verified through the S.A.V.E. program or the Department of Homeland Security pursuant to 8 U.S.C. §1373. Any applicant not lawfully in the United States shall be denied a license.

It is understood that if I have provided any false documents or, documents not originally issued to me, that my license may be suspended or revoked by the Board at any time.

I hereby state that all the documents provided by me are true and correct copies of documents issued to me by a governmental agency or tribal authority.

I further state that I have been provided a list of the documents that are acceptable to verify my identity and that verify my ability to work and/or reside in the United States. Of the list of documents, I have provided a copy of my _____.

Signature of Applicant

ATTESTATION

I, _____, a notary in the State of _____
(printed name of notary)

hereby attest to the fact the above named individual signed the above affidavit in my presence on

this _____ day of _____ 20__.

Sworn to and subscribed before me this _____ day of _____, _____.

Notary Public

My commission expires: _____

REQUIRED FOR ALL NEW APPLICANTS ONLY

AFFIDAVIT OF APPLICANT

All new applicants for licensing by AESBL must complete the following **Affidavit of Applicant** and submit it to the AESBL along with **ONE** of the **Acceptable Documents** listed on this page. Please submit a **COPY, not ORIGINAL, of one document**. This information is required in addition to all other applications and fees for AESBL licensing.

ACCEPTABLE DOCUMENTS

HB56, Section 29(k):

- Driver's license or non-driver's identification card (This would include a STAR ID)
- Birth certificate
- Pertinent Pages of a United States valid or expired passport (must show passport number)
- United States naturalization documents or the number of the certificate of naturalization
- Other documents or methods of proof of United States citizenship issued by the federal government pursuant to the Immigration and Nationality Act of 1952, and amendments thereto
- Bureau of Indian Affairs card number, tribal treaty card number or tribal enrollment number
- Consular report of birth abroad of a citizen of the United States of America
- Certificate of citizenship issued by the United States Citizenship and Immigration Services
- Certification of report of birth issued by the United States Department of State
- American Indian Card, with KIC Classification issued by the US Department of Homeland Security
- Final adoption decree showing the applicant's name and United States birthplace
- Official United States Military record of service showing the applicant's place of birth in the United States
- Extract from a United States hospital record of birth created at the time of the applicant's birth indicating the applicant's place of birth in the United States

HB56, Section 3(10):

- Valid, unexpired driver's license (This would include a STAR ID)
- Valid, unexpired non-driver identification card
- Valid tribal enrollment card or other form of tribal identification bearing a photograph or other biometric identifier
- Valid United States federal or state government issued identification document bearing a photograph or other biometric identifier, if issue by an entity that requires proof of lawful presence in the United States before issuance
- Foreign passport with an unexpired United States visa and a corresponding stamp or notation by the United States Department of Homeland Security indicating the bearer's admission to the United States
- Foreign passport issued by a visa waiver country with the corresponding entry stamp and unexpired duration of stay annotation or an I-94W form by the United States Department of Homeland Security indicating the bearer's admission to the United States

2026/2027

**REQUIRED MONITORING
INFORMATION
Effective January 2, 2013**

Any person or business entity that offers to undertake, represents itself as being able to undertake, or does undertake the monitoring of an alarm system for the public for any type of compensation or, any central station, must, with each renewal application, submit a report which provides the following: (1) the business entity that requested their accounts be monitored as an alarm system installer or dealer and (2) the date the company began monitoring accounts on behalf of the alarm system installer or dealer. **Said list shall not include the individual customers for each alarm system installer or dealer.**

Each report provided to AESBL by the monitoring company to meet this reporting requirement must include the name and address of the alarm system company requesting monitoring services and the date the monitoring company began monitoring accounts for the alarm system company.

**A NEW LICENSE WILL NOT BE ISSUED UNTIL
COMPLETE INFORMATION HAS BEEN PROVIDED.**

2026 / 2027 AESBL LICENSING FEE SCHEDULE

Company or Cashier's Check, Money Order, or Credit/Debit Cards

A. Company:	<u>Two-Year</u>
First Time Administrative Fee <u>or</u> Company Name Change Fee	\$150.00
<u>PLUS:</u>	
Company (Incorporated, LLC, or LP) License Fee and Annual Fee	\$400.00
-OR-	
Company (Sole Proprietorship or non-incorporated partnership) License Fee and Annual Fee	\$300.00
-OR-	
Company Branch Office Annual Fee <u>(No Administrative Fee Required)</u>	\$400.00

ADDITIONAL FEES:

Late Renewal Fee for companies submitted after 12/31/2025	\$500.00
-OR-	
Incomplete Renewal Fee for Company/QA apps after December 12/31/2025	\$350.00

****These fees are in addition to the Company, Sole Proprietorship, or Branch Office fee****

B. Individuals:	<u>Two-Year</u>
(1) Qualifying Agent—Burglar Alarm only	\$ 60.00
Add: QA Access Control	\$ 60.00
Add: QA CCTV	\$ 60.00
(2) Qualifying Agent—Locksmith only	\$ 60.00
Add: QA Access Control	\$ 60.00
Add: QA CCTV	\$ 60.00
(3) Burglar Alarm/Access Control/CCTV Installer	\$ 60.00
(4) Locksmith/Access Control/CCTV Installer	\$ 60.00
(5) Salesperson	\$ 60.00
(6) Helper	\$ 60.00
(7) Monitoring Station Operator	\$ 25.00
(8) Administrative	\$ 0.00

******Please see page# 12 for discounted fee schedule for current renewals only******

******Prorated fees may be available. Please check with the AESBL staff******

It is the responsibility of the licensee to inquire about adjusted fees. Payment in full will be applied to the company's account and open credit will not be issued.

AESBL Fee Schedule
For Current Renewals Only
2026-2027

COMPANY	June 1 - Aug 31	Sept 1 - Nov 30	December
Company (Incorporated, LLC, or LP)	\$360.00	\$380.00	\$400.00
Company (Branch Office)	\$360.00	\$380.00	\$400.00
Company (Sole Prop./Non-Inc. Partnership)	\$270.00	\$285.00	\$300.00
Admin Fee/Company Name Change Fee	\$150.00	\$150.00	\$150.00
INDIVIDUALS			
Qualifying Agent - Burglar Alarm only	\$54.00	\$57.00	\$60.00
Add: QA Access Control	\$54.00	\$57.00	\$60.00
Add: QA CCTV	\$54.00	\$57.00	\$60.00
Qualifying Agent - Locksmith only	\$54.00	\$57.00	\$60.00
Add: QA Access Control	\$54.00	\$57.00	\$60.00
Add: QA CCTV	\$54.00	\$57.00	\$60.00
Burglar/Access Control/CCTV Installer	\$54.00	\$57.00	\$60.00
Locksmith/Access Control/CCTV Installer	\$54.00	\$57.00	\$60.00
Salesperson	\$54.00	\$57.00	\$60.00
Helper	\$54.00	\$57.00	\$60.00
Monitoring Station Operator	\$22.50	\$23.75	\$25.00
Administrative	\$0.00	\$0.00	\$0.00

Continuing with the 2026-2027 renewal period, there will be a 10% reduction in applicable fees between June 1, 2025, and August 31, 2025. There will be a 5% reduction in applicable fees between September 1, 2025, and November 30, 2025. The reduced fee amounts end after November 30th. Beginning December 1, 2025, applicable fees will no longer be reduced.

These reduced fees only apply to companies and individuals renewing within their assigned renewal period and to those that have been licensed during the most recent licensing period.

Reduced fees **do not** apply to ALEA fees.

Credit/Debit Card Payment Form

(OPTIONAL PAYMENT METHOD)

Please choose one of the following:

- I wish to pay by credit/debit card by phone. Please call the following:**

Authorized Official

Phone Number

OR

- I wish to pay by credit/debit card. Please use the card information below:**

Cardholder Name _____

Card Number / Type _____

Address (including zip) _____

Expiration Date _____

Phone Number _____

Transaction Amount \$ _____

*Authorized Signature _____

Email Address _____

*By signing this form, I authorize RT Management, Inc., acting on behalf of the Alabama Electronic Security Board of Licensure / State of Alabama, to process the above transaction. I understand a receipt will be mailed when processed.

*****There is a 3.5% processing fee*****

*****STATE OF ALABAMA will appear as payee on bank/credit card statement*****

Please note that credit/debit card information is not kept on file by RT Management, Inc. or the AESBL.
All authorization forms will be shredded.

FBI FINGERPRINT CARDS

The following information must be completed before ABI/FBI will accept fingerprint cards for background checks:

- 1. Top of card-middle section-Last Name, First Name and Middle Name**
- 2. Left section – Signature of Person Fingerprinted**
- 3. Residence of Person Fingerprinted**
- 4. Date and Signature of Official Taking Fingerprints**
- 5. Employer and Address of Person Fingerprinted (Your Employer)**
- 6. Middle section – Social Security Number**
- 7. Right section – Sex, Race, Height, Weight, Eye Color, Hair Color, Place of Birth**
- 8. Right section – Date of Birth**

*****IF AN INDIVIDUAL APPLICANT DOES NOT SUBMIT FOR RENEWAL BY JANUARY 31, 2026, THEY ARE CONSIDERED A NEW APPLICANT AND MUST PAY FOR A FULL BACKGROUND CHECK THROUGH ALEA/FBI (\$37.00)*****

ABI/FBI WILL NOT ACCEPT FOLDED CARDS

ALEA fees can be paid by company check, cashier's check, money order, or debit/credit card. All fees should be a separate payment and made payable to ALEA.

LICENSEE'S/ APPLICANT'S AUTHORIZATION FOR A CRIMINAL BACKGROUND CHECK AND/OR REQUEST TO CHANGE, CORRECT, OR UPDATE THEIR CRIMINAL HISTORY

_____, hereby authorizes the Alabama Electronic
(printed name)

Security Board of Licensure (hereinafter "AESBL") to receive my criminal history information to determine my suitability for licensure. I understand that my fingerprints will be utilized to conduct a federal and state criminal background check and that the AESBL is authorized to conduct the criminal background check pursuant to Alabama Code Section 34-1A-5(d)(2a) and Alabama Code Section 34-1A-5(d)(2b). I, being of sound mind and legally competent, hereby authorize the AESBL to obtain any and all criminal history information on said applicant from the ALEA and/or FBI.

As set forth in Title 28 CFR, Section 16.34, I understand that I, as an applicant or renewal licensee, may challenge or appeal any portion of my Criminal History Record Information (CHRI) that I believe to be incomplete or inaccurate by contacting the Records & Identification Division located within ALEA at 334-353-4340. I understand that inquiries may also be made into my history of controlled substance or alcohol abuse, and into my fitness to enter or remain in the Alarm or Locksmith profession.

Signature of Applicant

Date

APPLICATION TO REVIEW ALABAMA CRIMINAL HISTORY RECORD INFORMATION



PERSONAL INFORMATION

Full Name (First, Middle, Last, Suffix): Sex/Gender: Male Female

Aliases/Nickname:

Applicant Current Address:

City: State: Zip Code: SSN:

Date of Birth: (MM/DD/YYYY) Driver's License Number: Issuing State:

Race: White Black Asian Indian Other (please specify)

Home Phone: Mobile Phone: Work Phone:

WORK INFORMATION

Employer Name: Employer Phone:

Contractor Name: Contractor Phone:

State Agency: Agency Phone:

Work Email Address:

Job Role/Classification: Supervisor Name:

Included with my Release are the following items:

- Completed Application signed by applicant and two witnesses OR notarized.
The required copy of my valid photo identification.
A classifiable copy of my own fingerprints taken by an authorized law enforcement agency as required.
If applying for state employment/licensure/certification, reference that agency's fee requirements for a background check.
PERSONAL REQUESTS ONLY: The required \$25.00 administrative fee (must be in the form of a money order or Cashier's check made payable to the ALEA, Criminal Records and Identification Unit).

AFFIDAVIT FOR RELEASE INFORMATION

I hereby authorize the Alabama Law Enforcement Agency to release any and all criminal history information to: Alabama Electronic Security Board of Licensure, 7956 Vaughn Road, PMB 392, Montgomery, AL 36116, 334-557-0983

Name & Address of Requesting Agency or Authorized Agent*

I, the above referenced individual, hereby request to release any and all criminal history record information (CHRI) maintained by both the Alabama Law Enforcement Agency, the Federal Bureau of Investigation, and any information relating to my past record and character whether it be financial, academic, military, employment, judicial, or personal reference. I hereby release all parties contributing such information from any charges or liability whatsoever because of furnishing said information. By signing below and submitting this application, I hereby verify that the information listed in my application and in the attached documentation is correct. I also acknowledge that I understand that, in accordance with Section 41-9-601 of the Code of Alabama 1975, that any person who willfully requests, obtains or seeks to obtain criminal offender record information under false pretenses, or who willfully communicates or seeks to communicate criminal offender record information to any agency or person without authorization, may be guilty of a felony, and shall be fined not less than \$5,000 nor more than \$10,000 or imprisoned in the state penitentiary for not more than five years or both. § 41-9-601, Code of Ala. (1975). Furthermore, as set forth at Title 28, Code of Federal Regulations (CFR), Section 16.34 I have the right to challenge or appeal any portion of my state and/or federal CHRI that I believe to be inaccurate (see "Appendix A" for contact information).

Applicant Signature Date

Name of Witness Name of Witness

Address of Witness Address of Witness

City, State and Zip City, State and Zip

Sworn to and subscribed before me this day of, 20.

Notary Signature My Commission Expires, 20.

FOR ALEA OFFICIAL USE ONLY: TCN: SID: AL Billed: Paid: No Charge:
Received By (Initials): /Date: / / Processed By (initials): /Date: / /
Walk-in/Hand Delivered Mailed Status: Initials: Date: / /
Background Check Qty: Total: \$
Certified Letter Qty: Total: \$

ALABAMA LAW ENFORCEMENT AGENCY/CRIMINAL JUSTICE SERVICES DIVISION
CONSENT TO CONDUCT BACKGROUND CHECK OF A MINOR



This form must be completed by a parent of legal guardian

Date _____

_____ minor (name), is requesting a background check.

I, _____, parent or legal guardian, consent and authorize the Alabama Law Enforcement Agency to conduct a background check on the above-referenced minor. As the parent or legal guardian, I understand the purposes of this background check and hereby provide my consent for the background check.

AUTHORIZATION:

By signing below, I hereby certify that I am the parent or legal guardian of the above-referenced minor and that I consent to the background check.

Print Name of Parent or Legal Guardian Relationship to Minor

Minor's Date of Birth (for identification purposes only) Parent or Legal Guardian Telephone #

Signature of Parent or Legal Guardian Date

Signature of Minor Date

ALABAMA LAW ENFORCEMENT AGENCY
APPLICATION TO CHALLENGE
 Alabama Criminal History Record Information



Appendix A

An individual may Challenge or Appeal any portion of his or her own Criminal History Record Information (CHRI) maintained by the ALEA Criminal Records and Identification Unit that he or she believes to be **inaccurate**. To submit a challenge regarding criminal history record information (CHRI) provided by the Alabama Law Enforcement Agency, Criminal Records and Identification Unit. Please complete the steps described below and mail this form and all supporting documentation to:

ALEA Criminal Records and Identification Unit – P.O. Box 1511 – Montgomery, AL 36102-1511 – ATTN: Record Challenge.

Failure to properly complete the form or provide the appropriate documentation, may cause a delay in processing your request.

I, _____, wish to challenge my Alabama CHRI provided to me by the Alabama Law Enforcement Agency Criminal Records and Identification Unit on ___/___/___.

- I understand that I must return this challenge form, along with the documentation required below, to the ALEA Criminal Records and Identification Unit no later than one year in order to challenge this information under this request. I further agree and understand that I must submit a new Request to Review or Challenge my criminal history record information in accordance with the procedure established by the Alabama Justice Information (AJI) Commission should I wish to challenge my Alabama criminal history after that date.
- I understand that I must provide below or ATTACH IN WRITING TO THIS FORM the following information regarding EACH arrest and/or disposition I am challenging before my challenge can be reviewed or processed by the ALEA Criminal Records and Identification Unit. I also understand that I should attach copies of the official documentation from the arresting agency or court (if applicable) to support each arrest or disposition being challenged.
- I understand that my challenge will be reviewed by an ALEA Criminal Records and Identification Unit official, along with the documentation provided. I also understand that my challenge may also be sent to the originating criminal justice agency with custody over the challenged information for their review, and that this process may take several weeks or longer to complete.

Please list the SPECIFIC charge, date, and Arresting Agency/Court for each arrest or disposition being challenged:

DATE	AGENCY	ARREST CHARGE/DISPOSITION CHALLENGED
1.		
2.		
3.		
4.		
5.		

Please also provide the following details:

A. The details related to why each specific arrest or disposition listed above is inaccurate:

B. The information believed to be correct information for each arrest or disposition being challenged:

C. The agency and/or court where I obtained what I believe to be the correct supporting information (if applicable) from is:

Signature: _____ Date: _____

Applicant Instructions

For completing the ALEA Application to Review Alabama Criminal History Record Information or to Challenge Alabama Criminal History Record Information



Appendix B

In order for your request to review, challenge or appeal your Alabama Criminal History Record Information to be processed by the Alabama Law Enforcement Agency (ALEA), **you must complete the ALEA Application to Review (SBI Form 46) or to Challenge Alabama Criminal History Record Information (SBI Form 46 Appendix A) in accordance with the following instructions:**

1. **Your application must include ONE COPY of at least one of the following forms of your own valid photo identification:**
 - a. A valid unexpired United States state-issued photo driver license or photo ID (non-driver) card;
 - b. A valid unexpired United States Citizenship and Immigration Service Document, which may include either:
 - i. Certificate of Naturalization N-550
 - ii. Replacement Certificate of Naturalization N-570
 - iii. Special Certificate of Naturalization N-578
 - iv. Certificate of Citizenship N-560
 - v. Replacement Certificate of Citizenship N-561
 - vi. Certificate of Citizenship (Posthumous) N-645, N-645A
 - c. A valid unexpired United States Passport; or
 - d. A valid unexpired Foreign Passport which meets the following requirements:
 - i. A foreign passport must contain a Valid United States Visa or I-94 to be used as a primary proof of identification; or
 - ii. A foreign passport, not issued in English, must be translated and accompanied by a Certificate of Accurate Translation. Passports are not acceptable if un-translated into English and/or expired.
2. **Your application must include the required \$25.00 administrative fee in the form of only a cashier's check or a money order** made payable to the "Criminal Records & Identification Unit" (*sorry – personal and/or business checks are not accepted*); and
3. **Your application must include a classifiable set of your own fingerprints, taken by an authorized law enforcement agency with an FBI-issued Originating Agency Number (ORI).**
 - a. The fingerprints accompanying your application should be provided to ALEA on an official FBI-approved "Applicant" fingerprint card or a FBI-approved AFIS printout of an official "Applicant" fingerprint card (i.e., FBI blue card). This ensures positive identification and insures that the proper criminal record is reviewed.
 - b. Details for the fingerprinting agency may be found in APPENDIX C.
4. **Your completed request and all of the required documentation should be mailed to:**

Alabama Law Enforcement Agency
Criminal Records and Identification Unit
ATTN: Background Checks
P.O. Box 1511
Montgomery, Alabama 36102-1511
5. **If your application is to CHALLENGE any part of your CHRI maintained by ALEA, the application must include, at a minimum:**
 - a. A copy of the Alabama Criminal History Record being challenged;
 - b. The charge and DATE of each specific arrest or disposition being challenged;
 - c. The Name of the ARRESTING AGENCY OR COURT for each arrest or disposition being challenged;
 - d. A listing of each specific arrest or disposition being challenged;
 - e. The details related to why each specific arrest is inaccurate;
 - f. What the applicant believes to be the correct information for each arrest or disposition being challenged;
 - g. Where the applicant obtained what he/she believes to be the correct supporting information (if applicable); and
 - h. Official documentation from the arresting agency or court (if applicable) to support each arrest or disposition being challenged.
6. **Your completed request and all of the required documentation should be mailed to:**

Alabama Law Enforcement Agency
Criminal Records and Identification Unit
ATTN: Record Challenge
P.O. Box 1511
Montgomery, Alabama 36102-1511

Please allow a minimum of 4-5 weeks from the date the application is received by ALEA for ALEA to process your request for review. Requests to Challenge CHRI information do NOT fall under this timeframe, as they require additional research, contact and verification with the arresting agencies, etc. If you have any questions concerning this procedure, you may contact ALEA by calling 334-517-2450 or 1-866-740-4762.

Privacy Act Statement

This privacy act statement is located on the back of the [FD-258 fingerprint card](#).

Authority: The FBI's acquisition, preservation, and exchange of fingerprints and associated information is generally authorized under 28 U.S.C. 534. Depending on the nature of your application, supplemental authorities include Federal statutes, State statutes pursuant to Pub. L. 92-544, Presidential Executive Orders, and federal regulations. Providing your fingerprints and associated information is voluntary; however, failure to do so may affect completion or approval of your application.

Principal Purpose: Certain determinations, such as employment, licensing, and security clearances, may be predicated on fingerprint-based background checks. Your fingerprints and associated information/biometrics may be provided to the employing, investigating, or otherwise responsible agency, and/or the FBI for the purpose of comparing your fingerprints to other fingerprints in the FBI's Next Generation Identification (NGI) system or its successor systems (including civil, criminal, and latent fingerprint repositories) or other available records of the employing, investigating, or otherwise responsible agency. The FBI may retain your fingerprints and associated information/biometrics in NGI after the completion of this application and, while retained, your fingerprints may continue to be compared against other fingerprints submitted to or retained by NGI.

Routine Uses: During the processing of this application and for as long thereafter as your fingerprints and associated information/biometrics are retained in NGI, your information may be disclosed pursuant to your consent, and may be disclosed without your consent as permitted by the Privacy Act of 1974 and all applicable Routine Uses as may be published at any time in the Federal Register, including the Routine Uses for the NGI system and the FBI's Blanket Routine Uses. Routine uses include, but are not limited to, disclosures to: employing, governmental or authorized non-governmental agencies responsible for employment, contracting, licensing, security clearances, and other suitability determinations; local, state, tribal, or federal law enforcement agencies; criminal justice agencies; and agencies responsible for national security or public safety.

As of 03/30/2018

See Page 2 for Spanish translation.

Declaración de la Ley de Privacidad

*Esta declaración de la ley de privacidad se encuentra al dorso del
FD-258 tarjeta de huellas digitales.*

Autoridad: La adquisición, preservación, e intercambio de huellas digitales e información relevante por el FBI es autorizada en general bajo la 28 U.S.C. 534. Dependiendo de la naturaleza de su solicitud, la autoridad incluye estatutos federales, estatutos estatales de acuerdo con la Pub. L. 92-544, Órdenes Ejecutivas Presidenciales, y reglamentos federales. El proveer sus huellas digitales e información relevante es voluntario; sin embargo, la falta de hacerlo podría afectar la terminación o aprobación de su solicitud.

Propósito Principal: Ciertas determinaciones, tal como empleo, licencias, y autorizaciones de seguridad, podrían depender de las investigaciones de antecedentes basados en huellas digitales. Se les podría proveer sus huellas digitales e información relevante/ biométrica a la agencia empleadora, investigadora, o responsable de alguna manera, y/o al FBI con el propósito de comparar sus huellas digitales con otras huellas digitales encontradas en el sistema Next Generation Identification (NGI) del FBI, o su sistema sucesor (incluyendo los depósitos de huellas digitales latentes, criminales, y civiles) u otros registros disponibles de la agencia empleadora, investigadora, o responsable de alguna manera. El FBI podría retener sus huellas digitales e información relevante/biométrica en el NGI después de terminar esta solicitud y, mientras las mantengan, sus huellas digitales podrían continuar siendo comparadas con otras huellas digitales presentadas a o mantenidas por el NGI.

Usos Rutinarios: Durante el procesamiento de esta solicitud y mientras que sus huellas digitales e información relevante/biométrica permanezcan en el NGI, se podría divulgar su información de acuerdo a su consentimiento, y se podría divulgar sin su consentimiento de acuerdo a lo permitido por la Ley de Privacidad de 1974 y todos los Usos Rutinarios aplicables según puedan ser publicados en el Registro Federal, incluyendo los Usos Rutinarios para el sistema NGI y los Usos Rutinarios Generales del FBI. Los usos rutinarios incluyen, pero no se limitan a divulgación a: agencias empleadoras gubernamentales y no gubernamentales autorizadas responsables por emplear, contratar, licenciar, autorizaciones de seguridad, y otras determinaciones de aptitud; agencias de la ley locales, estatales, tribales, o federales; agencias de justicia penal; y agencias responsables por la seguridad nacional o seguridad pública.

A partir de 30/03/2018