

**ALABAMA ELECTRONIC SECURITY
BOARD OF LICENSURE**
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MONTGOMERY, AL 36116
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MEMORANDUM

To: All AESBL Licensed Companies

From: Lynne Taunton
Executive Secretary

Date: December 19, 2014

Re: New Monetary Penalty Schedule for Violation of Section 34-1A-5(h), (i), (j) and (l)

Enclosed, please find the new schedule of monetary penalties for violation of the Code of Alabama, 1975, Section 34-1A-5(h), (i), (j) and (l). These items are considered on every inspection performed by the AESBL Inspectors. Many licensees have addressed these items to comply with the law. However, others do not comply even after a violation has been noted on an Inspection Form and the licensee has promised to correct the violation. **Therefore, to place renewed emphasis on addressing these items, the Board voted at its November 6, 2014, quarterly Board Meeting to approve the enclosed Schedule of Monetary Penalties for Violation of Section 34-1A-5(h), (i), (j) and (l).**

Beginning immediately, the AESBL Inspectors will begin placing renewed emphasis in pointing out these violations to licensees and advising them that they are subject to monetary penalties. **Beginning March 1, 2015**, the AESBL Inspector will be required to sign a statement, which will either be a separate form or will be added to the Inspection Form, to certify that violations were observed firsthand and/or were acknowledged by the company representative signing the Inspection Form. He/she may, also, submit supporting documentation. Upon receipt of an Inspection Form with certification of a violation, the Executive Secretary will either request additional evidence or, based on the Inspector's statement and any supporting documentation submitted, issue a monetary penalty for each noted violation, as provided on the enclosed schedule. A letter will be sent to the licensee as notification that a monetary penalty has been imposed and will specify the date by which either payment is to be received or a written and signed appeal notice is to be received in the AESBL office. Appeals will generally be required to be made in person at the next regularly scheduled Board Meeting, provided ample time was allowed for the licensee to have received notice of a monetary penalty and to have submitted a written notice of appeal. If the circumstances warrant it, a licensee will be allowed to come to the Board Meeting immediately following the next meeting.

Please review these four requirements and make an assessment as to where your company stands in meeting them. It is the wish of the Board that all licensees be in compliance with the law and the AESBL rules and regulations in order to avoid monetary penalties.

~~BOARD MEMBERS~~

~ KRISTI JENNINGS (Chair) ~ STACIA ROBINSON ~ JOE MARSH ~
~ SHERIFF JAMES W. JOHNSON ~ SCOTT HUNTER ~ JOHN D. ORR, SR. ~



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**Schedule of Monetary Penalties
for
Violation of Section 34-1A-5(h), (i), (j) and (l)**

Approved November 6, 2014

The Monetary Penalty Schedule below applies to the following types of violations reported to and/or verified by the AESBL:

1. Failure of a licensee to display its AESBL license at its normal place of business and in a manner easily readable by the general public. (Code of Alabama, 1975, Section 34-1A-5(h))
2. Failure of a licensee to display a notice prominently in the place of business that contains the name, mailing address and telephone number of the board and a statement informing consumers that complaints against licensees may be directed at the board. (Code of Alabama, 1975, Section 34-1A-5(i))
3. Failure of a licensee to display its AESBL license number in all advertising. (Code of Alabama, 1975, Section 34-1A-5(j)) Advertising media includes, but is not limited to, telephone book ads, newspaper ads, advertising flyers, company displays, business cards, company vehicles, contracts and agreements, etc.
4. Failure of a licensee to include on the licensee's contracts and agreements for services the name, mailing address and telephone number of the board and a statement informing consumers that complaints against licensees may be directed to the board. (Code of Alabama, 1975, Section 34-1A-5(l))

Monetary Penalty Schedule

First report of violation	\$25.00
Second report of violation	\$75.00
Third report of violation	Licensee required to appear before the board and may be subject to additional monetary penalties or suspension or revocation of license.

~~BOARD MEMBERS~~

~ KRISTI JENNINGS (CHAIRPERSON) ~ STACIA ROBINSON ~ JOE MARSH ~
~ SHERIFF JAMES W. JOHNSON ~ SCOTT HUNTER ~ JOHN D. ORR, SR. ~